

PRIVACY POLICY

WE CARE ABOUT YOUR PRIVACY!

Your Privacy is a high priority for us and it will be treated with the highest degree of confidentiality. In order for us to be able to provide you with financial services, we need to collect certain information from you. However, we want to emphasize that we are committed to maintaining the privacy of this information in accordance with law. All individuals with access to personal information about our customers are required to follow this policy.

Information That Is Collected:

While providing service to you, we collect personal information from the following sources:

- Information we receive from you on insurance and annuity applications, new account forms or other forms such as your name, address, date and location of birth, marital status, sex, social security number, medical information, beneficiary information, etc.
- Information about your transactions with us, our affiliates or others such as tax information, investment information, and accounting information.
- Information we receive from consumer reporting agencies, such as your credit history.

Information That May Be Disclosed:

We may provide the non-public information that we collect to affiliated or non-affiliated persons or entities involved in the maintenance, processing and servicing of your M.Brown Financial Advisors Group, Inc. account. We will not provide this information to any other non-affiliated third party unless we have a written agreement that requires such third party to protect the confidentiality of this information. We may have to provide the above described non-public information that we collect to authorized persons or entities to comply with a subpoena or summons by federal, state or local authorities and to respond to judicial process or regulatory authorities having jurisdiction over our company for examination, compliance or other purposes as required by law. We do not disclose any non-public personal information about our customers to anyone except as permitted or required by law.

Our Representatives

We recognize that our representatives are independent financial professionals and you have elected to open accounts with us due to the efforts of our representatives. Because of the importance of your relationship with your representative, if he/she elects to leave our firm for another brokerage, investment advisory or insurance firm, we or your advisor may share your information with the new firm in order to facilitate the transfer of your accounts. This sharing of information is subject to the requirements or limitations of applicable law.

if you do not want your advisor to disclose your personal information to the new firm in order to continue servicing your account please contact us in writing at: M.Brown Financial Advisors Group, Inc., 2728 Fogue Drive Unit 100, Naperville, IL 60564 to opt-out of this information sharing.

Some states require that your affirmative consent to share your personal information with the new firm. In this case, you must offer written consent before your advisor is allowed to take any of your personal information to the new firm. If you want to follow your financial advisor to their new firm when your advisor terminates his or her relationship with us, you do not need to take any action. You may withdraw your consent at any time by contacting us in writing at the address provided above.

Confidentiality & Security of Your Non-public Personal Information:

We restrict access of non-public personal information about you to only those persons who need to know about that information to maintain, process, and service your account with M.Brown Financial Advisors Group, Inc.. We maintain physical, electronic, and procedural safe guards that comply with state and federal standards to guard your non-public personal information. If we become aware that an item of personal information may be materially inaccurate, we will make a reasonable effort to re-verify its accuracy and correct any error as appropriate.

Information about Former Customers

M.Brown Financial Advisors Group, Inc. maintains non-public information about our former customers on a confidential and secure basis. If any such disclosure is made, it would be for reasons and under the conditions described in this notice. We do not disclose any non-public personal information about our former customers to any one except as permitted or required by law. An investor brochure that includes information describing the Public Disclosure Program can be obtained by accessing FINRA's website (www.FINRA.org) or calling FINRA's hotline number (800-289-9999). SIPC Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC. directly at (202) 371-8300 or by accessing SIPC's website at www.sipc.org.